

# $(\text{Min}, +)$ Formulas, Max, and Shortest Paths

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- joint work with
  - Anuj Tawari (IMSc)
  - Prajakta Nimbhorkar (CMI)
- shaped and influenced by email discussions with Stasys Jukna

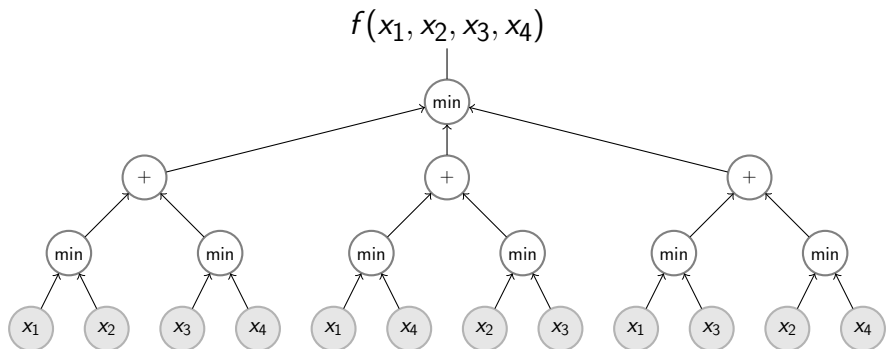
# Computation Model

Model: Formulas/Circuits over the tropical semiring Min.

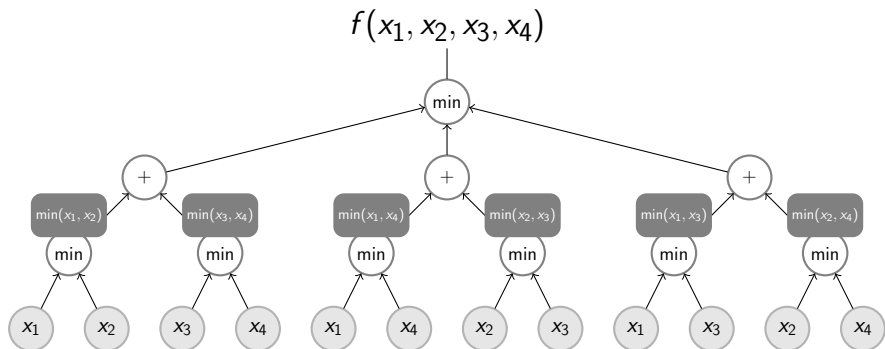
- Elements from the set  $\mathbb{N} \cup \{\infty\}$
- Operation min; identity  $\infty$ .
- Operation +; identity 0.

The tropical semiring Min:  $(\mathbb{N} \cup \{\infty\}, \min, +, \infty, 0)$ .  
(An Idempotent (min), Commutative (+), semiring.)

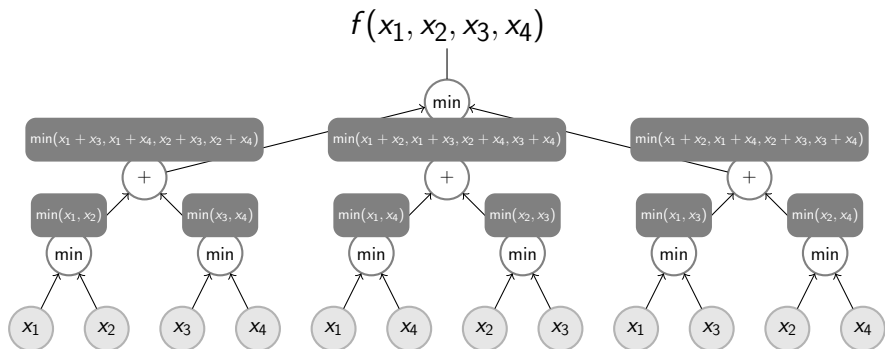
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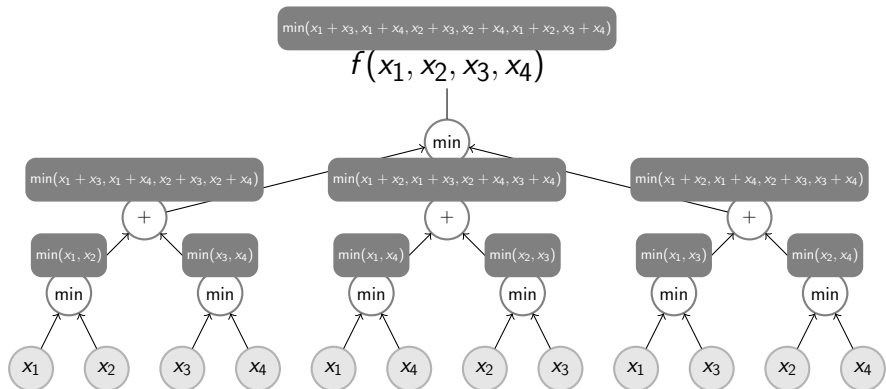
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- Neither  $\min$  nor  $+$  have inverses.  
No way to use cancellations for efficiency.



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(as in Boolean semiring  $(x \vee (x \wedge y) = x)$ )  
Can be exploited to make computation efficient.
- Polynomial “produced” by a circuit/formula can be very different from polynomial “computed”.  
eg  $\min(x, y, x + y, 2 + x, 3 + y)$  computes  $\min(x, y)$ .  
We can have  $f, g$  with different monomials/coefficients, but evaluating to the same values everywhere.

# Why study the tropical semiring

- Natural model for many dynamic programming algorithms.
- Can help us understand the power and limitations of dynamic programming.
- Simulates monotone boolean formulas.

$$0 \rightarrow \infty; \quad 1 \rightarrow 1; \quad \vee \rightarrow \min; \quad \wedge \rightarrow \wedge$$

Can simulate without using  $\infty$  too, slight blow-up.

$$x \wedge y = \min(x, y); \quad x \vee y = \min(1, x + y).$$

# What we can do

## Shortest Path computations.

Problem	Upper Bound	Lower bound
Single-Source-Shortest-Path (SSSP)	$O(n^3)$ size (min, +) circuits [BF62], [M59]	$\Omega(n^3)$ size (min, +) BP [JS16]
All-Pairs-Shortest-Path (APSP)	$O(n^3)$ size (min, +) circuits [F62],[W62]	$\Omega(n^3)$ size (min, +) circuits [J16]
Travelling-Salesman-Problem (TSP)	$O(2^n \cdot n^2)$ size (min, +) circuits [HK62]	$\Omega(2^n \cdot n^2)$ size (min, +) circuits [JS82]

# What we can't do

$(\min, +)$  formulas **not** a universal model for monotone functions on  $\mathbb{N}$ .

- Longest path computations.
- Diameter of a graph.
- The maximum function.

# Why we can't compute max

- Min is concave; max is convex; + is linear (convex and concave).
- Suppose  $f$  computable by  $(\min, +)$  circuit. Then

$$2f(\tilde{x} + \tilde{y}) \geq f(2\tilde{x}) + f(2\tilde{y})$$

- But

$$2 \max((0, 1) + (1, 0)) = 2 \max(1, 1) = 2 \not\geq 4 = \max(0, 2) + \max(2, 0)$$

# What we can't do (cont'd)

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- Over  $\{0, 1\}$ :  $\max\{a, b\} = \min\{1, a + b\}$ .
- Over  $\{0, 1, 2\}$ ?  $\{0, 1, \dots, k\}$ ? Using larger constants?  
Not possible: similar convex/concave argument.
- Over  $\{0, 1\}$ , use of the constant 1 crucial.

# Using subtraction to compute max

- Linear size formula:  $\max(x_1, \dots, x_n) = -\min(-x_1, \dots, -x_n)$ .

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- Another formulation:

$$\max(x_1, \dots, x_n) = \text{Sum}_n - \text{MinSum}_n^{n-1} = \sum_{i \in [n]} x_i - \min_{i \in [n]} \sum_{j \in [n] \setminus \{i\}} x_j$$

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- How do we know  $\Omega(n \log n)$ ?

Suppose  $F$  computes  $\text{MinSum}_n^{n-1}$ .

Transform  $F \rightarrow G$ : constants  $\rightarrow 0$ ;  $\min \rightarrow \vee$ ;  $+$   $\rightarrow \wedge$ .

Then  $G$  computes  $\text{Th}_n^{n-1}$ .

Lower bound known for Monotone Boolean formulas for  $\text{Th}_n^{n-1}$ :

$\Omega(n \log n)$ : [Hansel64], [Krichevskii64];  $n \log n$ : [JRadhakrishnan97].

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- Any other formulation?



# Expressing max as the difference of (min, +) formulas

## Theorem

Let  $F_1$  and  $F_2$  be (min, +) formulas such that

for all  $\tilde{x} \in \mathbb{N}^n$ ,  $F_1 - F_2$  computes  $\max(x_1, x_2, \dots, x_n)$ .

Then  $|F_1| \geq n$ ,  $|F_2| \geq n \log n$ .

# Proof Sketch

- Remove *common part* of  $F_1, F_2$  without increasing size, to get  $G_1, G_2$ . (Find parse tree with only common variable; set these leaves to 0.)

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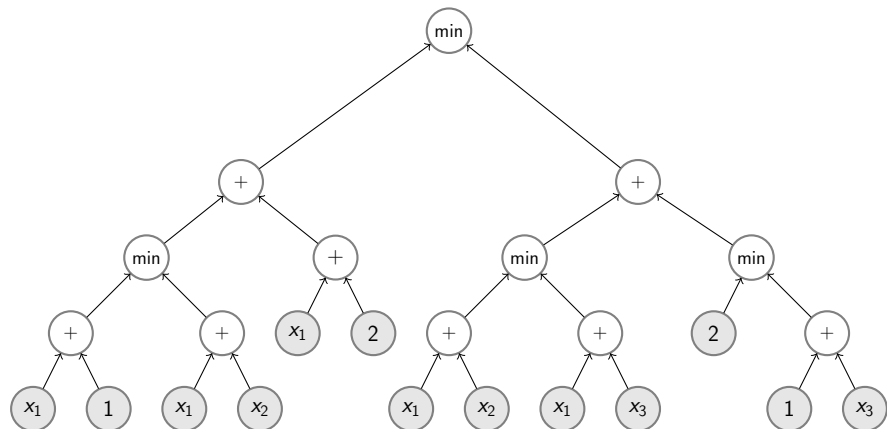
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- $G_2 \rightarrow H$ : zero out all constants at leaves.  
We don't know what  $H$  computes.
- Show nice properties of  $H$ , apply a complexity measure.



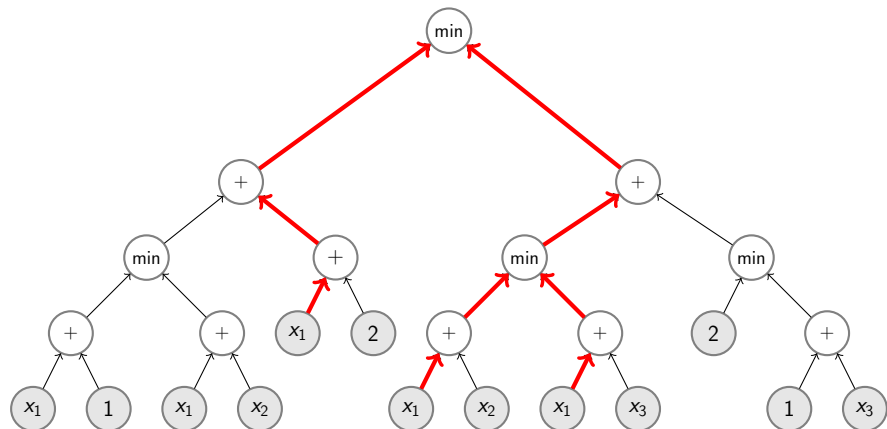
# Removing common part

$$\min\{2x_1 + 3, 2x_1 + x_2 + 2, x_1 + x_2 + 2, x_1 + x_2 + x_3 + 1, x_1 + x_3 + 2, x_1 + 2x_3 + 1\}$$
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# Properties of $H$

$$\max = G_1 - G_2.$$

$G_1$  and  $G_2$  have no common part.

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Analogous monotone Boolean case:

no singleton minterms, all size-2 minterms present. i.e.  $\text{Th}_{2,n}$ .

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(Jukna: can even transfer Boolean lower bound.)

# The complexity measure

$f : \mathbb{N}^n \rightarrow \mathbb{N}$  satisfying  $f(\tilde{0}) = 0$ .

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- $\text{Graph}(f) = ([n], E)$  where

$$E = \{(i, j) \mid f(e_i + e_j) \geq 1, f(e_i) = 0, f(e_j) = 0\}$$



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- Vertex Packing Polytope of a graph  $G = (V, E)$ :

$$VP(G) = \text{ConvexHull} \{ \vec{a} \in \{0, 1\}^n \mid \vec{a} \text{ indicates an independent set} \}$$

- Entropy of a graph  $G = (V, E)$  (w.r.t. uniform distribution):

$$H(G) = \min_{\vec{a} \in VP(G)} \sum_{i=1}^n \frac{1}{n} \log \frac{1}{a_i}$$

# Graph Entropy

- First defined by [Korner73] with respect to a probability distribution.
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- For graphs  $F, G$  on same vertex set,
  - $E(F) \subseteq E(G) \Rightarrow H(F) \leq H(G)$ . **Monotonicity.**
  - $H(F \cup G) \leq H(F) + H(G)$ . **Sub-additivity.**
- $H(V, \emptyset) = 0$ .
- $H(K_n) = \log n$ .
- If  $|V(G)| = n$ , and  $E(G)$  induces a bipartite graph on  $m$  vertices, then  $H(G) \leq \frac{m}{n}$ . (In particular,  $H(K_{n,n}) = 1$ .)

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For monotone Boolean function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$ ,

**Theorem (NewmanWigderson95)**

$$\text{Monotone-Boolean-Formula-Leaf-size}(f) \geq n \cdot \mu(f)$$

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**Theorem** (*NewmanWigderson95*)

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Works for  $(\min, +)$  semiring too; for  $f : \mathbb{N}^n \rightarrow \mathbb{N}$ ,

**Theorem**

$$\text{Constant-free-}(\min, +)\text{-Formula-Leaf-size}(f) \geq n \cdot \mu(f)$$

# Wrapping up Proof

$$\max = F_1 - F_2.$$

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We can show  $h(e_i) = 0$  for all  $i$ , and  $\text{Graph}(h) = K_n$ .  
Hence  $\mu(h) = \log n$  and  $\text{Leaf-Size}(H) \geq n \log n$ .

# More about $(\min, +)$ computation

Interpreting a polynomial in different (semi)rings:

Arithmetic	$(\min, +)$	Boolean
$x + yz$	$\min(x, y + z)$	$x \vee yz$
$x^2 + yz$	$\min(2x, y + z)$	$xx \vee yz$ $\equiv x \vee yz$
$x + xy + yz$	$\min(x, x + y, y + z)$ $\equiv \min(x, y + z)$	$x \vee xy \vee yz$ $\equiv x \vee yz$
$x + x^3$	$\min\{x, 3x\} \equiv x$	$x \vee xxx \equiv x$
$x + 2$	$\min\{x, 2\}$	$x \vee 1 \vee 1 \equiv 1$



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$x + 2$	$\min\{x, 2\}$	$x \vee 1 \vee 1 \equiv 1$

For multilinear polynomials with 0-1 coefficients, computing cost in monotone-Boolean  $\leq (\min, +) \leq$  monotone-arithmetic.

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- Computing and producing equivalent for monotone arithmetic setting.
- Not so in the tropical semiring; provably huge difference between computing and producing.

$$\text{stconn}_n = \min_{\rho: s \rightsquigarrow t \text{ path}} \sum_{e \in \rho} x_e$$

$$\text{walk}_n = \min_{\rho: s \rightsquigarrow t \text{ walk of length } < n} \sum_{e \in \rho} (\text{multiplicity of } e \text{ in } \rho) x_e$$

Equivalent for computing, easy  $O(n^3)$ .

Very different for producing:  $\text{walk}_n$  easy;

$\text{stconn}_n$  needs size  $2^{\Omega(n)}$  (Jukna2015).

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$$n! = \#\text{monomials}(\text{stconn}_{he}) \leq \sum_{i=1}^t \#\text{monomials in } g_i \times h_i$$

- A degree  $d$  monomial has at most  $(n-d)!$  extensions in  $\text{stconn}_{he}$ . So

$$\#\text{monomials in } g_i \times h_i \leq \max_{n/3 < a \leq 2n/3} (n-a)!a! \leq (n/3)!(2n/3)!$$



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- A degree  $d$  monomial has at most  $(n-d)!$  extensions in  $\text{stconn}_{he}$ . So

$$\#\text{monomials in } g_i \times h_i \leq \max_{n/3 < a \leq 2n/3} (n-a)!a! \leq (n/3)!(2n/3)!$$

- $s \geq t \geq \binom{n}{n/3} = \exp(\Omega(n))$ .

## Computing versus Producing ... 2

- Computing  $\text{stconn}_n$ : easy in  $(\min, +)$  semiring,  
hard in monotone arithmetic semiring.
- This is **only** because  $\text{stconn}_n$  is not homogeneous.
- Recall, for multilinear polynomials with 0-1 coefficients, computing cost in  
monotone-Boolean  $\leq (\min, +) \leq$  monotone-arithmetic.
- For homogeneous multilinear polynomials with 0-1 coefficients,  
computing cost same in  $(\min, +)$  and monotone-arithmetic.  
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(Jukna2015)  
( $\text{stconn}_{he}$  is HamPath; hard in arithmetic and in  $(\min, +)$ .)

# Computing stconn, revisited

- stcon has  $(\min, +)$  circuits of size  $O(n^3)$ .  
Is  $\Omega(n^3)$  necessary? **Still open!**  
Known to be necessary for tropical skew circuits. (JuknaSchnitger2016)

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Known to be necessary for tropical skew circuits. (JuknaSchnitger2016)
- stcon has  $(\min, +)$  circuits of size  $O(n^3)$ , alternation depth  $\Theta(\log n)$ .  
What if we bound alternation depth?

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depth- $d$  size- $s$  Boolean circuit for  $\text{Parity}_n$ .

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depth- $d$  size- $s$  Boolean circuit for Parity $_n$ .

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- Is this bound tight?
- Upper bound via divide-and-conquer:  
for even  $d$ , there is a circuit of size  $s \in \exp(O(dn^{\frac{2}{d}} \log n))$ .



# Computing stconn with alternation depth $d$ (cont'd)

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4	$\exp(O(\sqrt{n} \log n))$	$\exp(\Omega(n^{1/3}))$	???

# Computing stconn with alternation depth 4

$\mathcal{G}$  = set of + gates just below the root.

Partial result 1:

## Theorem

*If at most  $k$  gates in  $\mathcal{G}$  have  $\text{fanin} > 2$ , then size  $\exp(\Omega(\frac{n}{2^k} \log \frac{n}{2^k}))$ .*

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Proof:  $L(n, r, k)$  = (leaf-)size of the smallest depth-4 formula that solves  $\text{stconn}_n$ , and where the number of  $+$  gates at the second level with fan-in exceeding  $r$  is at most  $k$ . Show

- For  $k \geq 1$ ,  $L(n, r, k) \geq L(n(\frac{r-1}{r}), r, k-1)$ . In particular,

$$L(n, 2, k) \geq L\left(\frac{n}{2}, 2, k-1\right).$$

- If all gates in  $\mathcal{G}$  have fanin  $\leq 2$ , then size  $\exp(\Omega(n \log n))$ . ie

$$L(n, 2, 0) \geq 2^{\Omega(n \log n)}.$$

(no better than depth 2)

# Computing stconn with alternation depth 4

Partial result 2:

## Theorem

*If  $F$  is a depth 4 formula for stconn with size  $L(F)$ , and all second level + gates have fanin at most  $t$ , then*

$$L(F) \geq \exp \left( \Omega \left( \frac{n \log n}{t} \right) \right)$$

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Lower bound tight at two extremes:  $t = \sqrt{n}$ , and  $t = 2$ .



# Open Questions re tropical computation

- Tight lower bounds for problems with low tropical complexity.
- How much of machinery from monotone arithmetic circuits (over fields) can be ported?
- How much from monotone Boolean circuits?

Thank you